

# KOVALAM INVESTMENT & TRADING COMPANY LIMITED

REGD. OFFICE: PREMISES OSWAL WOOLLEN MILLS LIMITED, G.T. ROAD, SHERPUR, LUDHIANA - 141 003

CIN NO: L65910PB1981PLC023058

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Dated: 15.10.2016

The Secretary  
Bombay Stock Exchange Ltd.  
Floor 25, P. J. Towers,  
Dalal Street,  
**MUMBAI - 400 001**

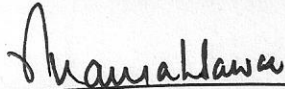
SUB: - **SHARE HOLDING PATTERN**

Dear Sir,

In terms of regulation 31 (1) (b) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), Regulations 2015 dated 02.09.2015; please find enclosed herewith Share Holding Pattern as on 30.09.2016.

Thanking you,

Yours faithfully,  
For Kovalam Investment & Trading Company Limited



**PAWAN KUMAR SHARMA**  
**(DIRECTOR & COMPLIANCE OFFICER)**  
DIN: 07163131

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulation**

- 1 Name of Listed Entity: Kovalam Investment And Trading Company Limited
- 2 Scrip Code/Name of Scrip/Class of Security:  
BSE Scrip Code: 505585 Class of Security: EQUITY
- 3 Share Holding Pattern Filed under: Reg. 31(1)(b)  
a. If under 31(1)(b) then indicate the report for Quarter ending: 30.09.2016  
b. If under 31(1)(c) then indicate date of allotment/extinguishment: Not Applicable
- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes *	No *
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has issued any Warrants		No
4 Whether the Listed Entity has any shares against which depository receipts are issued?		No
5 Whether the Listed Entity has any shares in locked-in?		No
6 Whether any shares held by promoters are pledge or otherwise encumbered?		No
7 Whether company has equity shares with differential voting rights		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

For KOVALAM INVESTMENT & TRADING CO. LTD

*M. Muralidharan*

Director

# Kovalam Investment And Trading Company Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	No. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: Y								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	13	1666990	0	0	1666990	74.96	1666990	0	1666990	74.96		0	0	0	0	0
(B)	Public	499	556924	0	0	556924	25.04	556924	0	556924	25.04		0	0	0	0	0
(C)	Non Promoter - Non Public	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
	Total	512	2223914	0	0	2223914	100.00	2223914	0	2223914	100		100	0	0	0	0

For KOVALAM INVESTMENT & TRADING CO. LTD

*Heinrich*  
Director



**Kovalam Investment And Trading Company Limited**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of shareholders		PAN	Nos. of shareholder s	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholdi ng, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerial ised form
									No of Voting Rights							
									Class eg: X	Class eg: Y	Total					
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)				(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)	(xiv)
1	Indian															
(a)	Individuals / Hindu Undivided Family		3	79530	0	0	79530	3.58	79530	0	79530	3.58	0	0	0	0
	Kamal Oswal	AACP09697F	1	19600	0	0	19600	0.88	19600	0	19600	0.88	0	0	0	0
	Dinesh Oswal	AABPQ2476P	1	29930	0	0	29930	1.35	29930	0	29930	1.35	0	0	0	0
	Jawahar Lal Oswal	AABPQ2481J	1	30000	0	0	30000	1.35	30000	0	30000	1.35	0	0	0	0
(b)	Central Government / State Government(s)		0	0	0	0	0	0.00								
(c)	Financial Institutions / Banks		0	0	0	0	0									
(d)	Any Other (Specify)		0	0	0	0	0									
	Bodies Corporate		10	1587460			1587460	71.38	1587460		1587460.00	71.38				
	Abhijash Growth Fund Pvt. Ltd	AAACA2361H	1	73850	0	0	73850	3.32	73850	0	73850	3.32	0	0	0	0
	J L Growth Fund Ltd	AAACJ1593Q	1	91130	0	0	91130	4.10	91130	0	91130	4.10	0	0	0	0
	Nahar Growth Fund Pvt. Ltd	AAACN0765G	1	20000	0	0	20000	0.90	20000	0	20000	0.90	0	0	0	0
	Girnar Investment Ltd	AAACG0077A	1	160035	0	0	160035	7.20	160035	0	160035	7.20	0	0	0	0
	Nagdevi Trading & Investment Co. Ltd	AAACN1563A	1	830685	0	0	830685	37.35	830685	0	830685	37.35	0	0	0	0
	Oswal Woollen Mills Ltd.	AAACO1973F	1	87000	0	0	87000	3.91	87000	0	87000	3.91	0	0	0	0
	Varak Investors Ltd	AAACV0052E	1	123360	0	0	123360	5.55	123360	0	123360	5.55	0	0	0	0
	Sankheshwar Holding Co. Ltd	AAACS2165B	1	176400	0	0	176400	7.93	176400	0	176400	7.93	0	0	0	0
	Aaram Vallabh Financiers Ltd	AAACA2847G	1	15000	0	0	15000	0.67	15000	0	15000	0.67	0	0	0	0
	Varadman Investment Ltd	AAACV1587G	1	10000	0	0	10000	0.45	10000	0	10000	0.45	0	0	0	0
	Sub Total (A1)		13	1666990	0	0	1666990	74.96	1666990	0	1666990	74.96	0	0	0	0

For KOVALAM INVESTMENT & TRADING CO. LTD

*Moumukshu*  
Director



# Kovalam Investment And Trading Company Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding g, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					No. (a) As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total Shares held (Not applicable) (b)			
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)
1	Institutions																	
(a)	Mutual Fund		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0
(e)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0
(f)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0
(g)	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0
(i)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0
	Sub Total (B)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0
	Sub Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0

FOR KOVALAM INVESTMENT & TRADING CO. LTD

*[Signature]*  
Director



# Kovalam Investment And Trading Company Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of shareholders	PAN	No. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (Not applicable)	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (Not applicable)		As a % of total Shares held (Not applicable)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
1 Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

FOR KOVALAM INVESTMENT & TRADING CO. LTD

*M. S. Senthil Kumar*  
Director