

General information about company	
Scrip code	505585
NSE Symbol	NA
MSEI Symbol	NA
ISIN	INE735U01011
Name of the entity	KOVALAM INVESTMENT AND TRADING COMPANY LIMITED
Date of start of financial year	01-04-2019
Date of end of financial year	31-03-2020
Reporting Quarter	Quarterly
Date of Report	31-12-2019
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory														Textual Information(1)						
Wether the listed entity has a Regular Chairperson														No						
Whether Chairperson is related to MD or CEO														No						
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mrs	RUCHIKA OSWAL	AABPO2482M	00565979	Non-Executive - Non Independent Director	Not Applicable		25-02-1972	NA		27-03-2015		16-12-2019		2	0	0	0		
2	Mr	NAVDEEP SHARMA	ADNPS0280J	00454285	Non-Executive - Independent Director	Not Applicable		02-06-1959	NA		31-05-2006	28-09-2018		36	3	2	1	3		
3	Mr	PAWAN KUMAR SHARMA	AUGPS7592D	07163131	Non-Executive - Non Independent Director	Not Applicable		01-08-1973	NA		11-05-2015				1	0	2	0		
4	Mr	RAJ SINGH	AEBPS2286M	07175611	Non-Executive - Independent Director	Not Applicable		12-07-1959	NA		10-10-2019			60	1	1	2	0		

I. Composition of Board of Directors																		
Disclosure of notes on composition of board of directors explanatory																		
Wether the listed entity has a Regular Chairperson																		
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
5	Mrs	MANISHA OSWAL	AABPO2480K	06948181	Non-Executive - Non Independent Director	Not Applicable		10-09-1965	NA		16-12-2019				1	0	0	0

Text Block	
Textual Information(1)	<p>Presently, The Company does not have regular chairman. the Company has appointed Sh. Mohinder Kumar Jain as manager (KMP) of the Company to look after day to day work.</p> <p>The Board of Directors had appointed Sh. Raj Singh as an Additional Director (Independent) w.e.f. 25-09-2019 in the Board Meeting held on 25-09-2019 who vacated the office upon the conclusion of 37th Annual General Meeting in terms of provisions of Section 161 (1) of the Companies Act, 2013.</p> <p>As per Regulation 25(6) of SEBI (LODR) Regulations, 2015, An independent director who resigns or is removed from the board of directors of the listed entity shall be replaced by a new independent director by listed entity at the earliest but not later than the immediate next meeting of the board of directors or three months from the date of such vacancy, whichever is later.</p> <p>The Board appointed Sh. Raj Singh as an Additional Director (Independent) of the Company w.e.f. 10-10-2019 in the Board Meeting held on 10-10-2019 and the intimation in this regard has also been disseminated to your good office.</p>

Annexure 1	
II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	Textual Information(1)

Annexure 1 Text Block

Textual Information(1)	<p>1. Corporate Social Responsibility Committee is not applicable to our Company.</p> <p>2. Risk Management Committee is not applicable to our Company.</p> <p>3. The Board of Directors had appointed Sh. Raj Singh as an Additional Director (Independent) w.e.f. 25-09-2019 in the Board Meeting held on 25-09-2019 who vacated the office upon the conclusion of 37th Annual General Meeting in terms of provisions of Section 161 (1) of the Companies Act, 2013.</p> <p>As per Regulation 25(6) of SEBI (LODR) Regulations, 2015, An independent director who resigns or is removed from the board of directors of the listed entity shall be replaced by a new independent director by listed entity at the earliest but not later than the immediate next meeting of the board of directors or three months from the date of such vacancy, whichever is later.</p> <p>The Board appointed Sh. Raj Singh as an Additional Director (Independent) of the Company w.e.f. 10-10-2019 in the Board Meeting held on 10-10-2019 and the intimation in this regard has also been disseminated to your good office.</p>
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Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00454285	NAVDEEP SHARMA	Non-Executive - Independent Director	Chairperson	16-03-2015		
2	07163131	PAWAN KUMAR SHARMA	Non-Executive - Non Independent Director	Member	11-05-2015		
3	07175611	RAJ SINGH	Non-Executive - Independent Director	Member	10-10-2019		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00454285	NAVDEEP SHARMA	Non-Executive - Independent Director	Chairperson	16-03-2015		
2	07163131	PAWAN KUMAR SHARMA	Non-Executive - Non Independent Director	Member	11-05-2015		
3	07175611	RAJ SINGH	Non-Executive - Independent Director	Member	10-10-2019		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00454285	NAVDEEP SHARMA	Non-Executive - Independent Director	Chairperson	16-03-2015		
2	07163131	PAWAN KUMAR SHARMA	Non-Executive - Non Independent Director	Member	11-05-2015		
3	07175611	RAJ SINGH	Non-Executive - Independent Director	Member	10-10-2019		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1**Annexure 1****III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	13-08-2019				Yes		
2	25-09-2019		42		Yes		
3		10-10-2019	14		Yes	3	1
4		13-11-2019	33		Yes	4	2
5		16-12-2019	32		Yes	4	2

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory								No. of Independent Directors attending the meeting*
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	
1	Audit Committee	13-08-2019				Yes		
2	Audit Committee	13-11-2019	91			Yes	3	2
3	Nomination and remuneration committee	13-08-2019				Yes		
4	Nomination and remuneration committee	25-09-2019	42			Yes		
5	Nomination and remuneration committee	10-10-2019	14			Yes	2	1
6	Nomination and remuneration committee	16-12-2019	66			Yes	3	2

Annexure 1**IV. Meeting of Committees**

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
7	Stakeholders Relationship Committee	13-08-2019				Yes		
8	Stakeholders Relationship Committee	13-11-2019	91			Yes	3	2

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	JYOTI SUD
2	Designation	Company Secretary and Compliance Officer

Signatory Details	
Name of signatory	JYOTI SUD
Designation of person	Company Secretary and Compliance Officer
Place	LUDHIANA
Date	14-01-2020

