

| General information about company                              |   |
|--|---|
| Scrip code   | 505585  |
| NSE Symbol   | NA  |
| MSEI Symbol  | NA  |
| ISIN   | INE735U01011                                  |
| Name of the entity   | KOVALAM INVESMENT AND TRADING COMPANY LIMITED |
| Date of start of financial year                                | 01-04-2020                                    |
| Date of end of financial year                                  | 31-03-2021                                    |
| Reporting Quarter  | Quarterly                                     |
| Date of Report   | 31-12-2020                                    |
| Risk management committee                                      | Not Applicable                                |
| Market Capitalisation as per immediate previous Financial Year | Any other                                     |

## Annexure I

## Annexure I to be submitted by listed entity on quarterly basis

## I. Composition of Board of Directors

| Disclosure of notes on composition of board of directors explanatory |          |  |                         |                         |               |   |                                    |                             |                        |                   | Textual Information(1)         |  |   |  |  |
|--|----------|--|-------------------------|-------------------------|---------------|---|------------------------------------|-----------------------------|------------------------|-------------------|--------------------------------|--|---|--|--|
| Whether the listed entity has a Regular Chairperson                  |          |  |                         |                         |               |   |                                    |                             |                        |                   | No                             |  |   |  |  |
| Whether Chairperson is related to MD or CEO                          |          |  |                         |                         |               |   |                                    |                             |                        |                   | No                             |  |   |  |  |
| PAN  | DIN      | Category 1 of directors                  | Category 2 of directors | Category 3 of directors | Date of Birth | Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations] | Date of passing special resolution | Initial Date of appointment | Date of Re-appointment | Date of cessation | Tenure of director (in months) | No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations) | No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations) | Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of p of Chairper in Aud Stakehol Commit held i listed entitie includi this list entity (R Regulat 26(1) of Listing Regulations) |
| ADNPS0280J   | 00454285 | Non-Executive - Non Independent Director | Not Applicable          |                         | 02-06-1959    | NA  |                                    | 31-05-2006                  |                        |                   |                                | 3  | 1   | 3  | 1  |
| AAXPJ7153L   | 00399948 | Non-Executive - Independent Director     | Not Applicable          |                         | 16-11-1954    | NA  |                                    | 29-02-2020                  |                        |                   | 60                             | 1  | 1   | 0  | 2  |
| ABOPJ4665M   | 08379534 | Non-Executive - Independent Director     | Not Applicable          |                         | 20-06-1946    | Yes   | 25-09-2020                         | 29-02-2020                  |                        |                   | 60                             | 1  | 1   | 2  | 0  |
| AABPO2480K   | 06948181 | Non-Executive - Non Independent Director | Not Applicable          |                         | 10-09-1965    | NA  |                                    | 16-12-2019                  |                        |                   |                                | 1  | 0   | 0  | 0  |

| Text Block             |   |
|------------------------|---|
| Textual Information(1) | Presently, The Company does not have regular chairman. the Company has appointed Sh. Mohinder Kumar Jain as manager (KMP) of the Company to look after day to day work. |

| Annexure 1   |                        |
|--|------------------------|
| II. Composition of Committees                                |                        |
| Disclosure of notes on composition of committees explanatory | Textual Information(1) |

| Annexure 1 Text Block  |   |
|------------------------|---|
| Textual Information(1) | <ol style="list-style-type: none"><li>1. Risk Management Committee is not applicable to our Company.</li><li>2. Corporate Social Responsibility Committee is not applicable to our Company.</li></ol> |

| Audit Committee Details                               |            |                           |  |                         |                     |                   |         |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Whether the Audit Committee has a Regular Chairperson |            |                           |  |                         | Yes                 |                   |         |
| Sr  | DIN Number | Name of Committee members | Category 1 of directors                  | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1   | 00399948   | KOMAL JAIN                | Non-Executive - Independent Director     | Chairperson             | 29-02-2020          |                   |         |
| 2   | 08379534   | FAQIR CHAND JANDEY        | Non-Executive - Independent Director     | Member                  | 29-02-2020          |                   |         |
| 3   | 00454285   | NAVDEEP SHARMA            | Non-Executive - Non Independent Director | Member                  | 29-02-2020          |                   |         |

| Nomination and remuneration committee                                       |            |                           |  |                         |                     |                   |         |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Whether the Nomination and remuneration committee has a Regular Chairperson |            |                           |  |                         | Yes                 |                   |         |
| Sr  | DIN Number | Name of Committee members | Category 1 of directors                  | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1   | 00399948   | KOMAL JAIN                | Non-Executive - Independent Director     | Chairperson             | 29-02-2020          |                   |         |
| 2   | 08379534   | FAQIR CHAND JANDEY        | Non-Executive - Independent Director     | Member                  | 29-02-2020          |                   |         |
| 3   | 00454285   | NAVDEEP SHARMA            | Non-Executive - Non Independent Director | Member                  | 29-02-2020          |                   |         |

| Stakeholders Relationship Committee                                       |            |                           |  |                         |                     |                   |         |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Whether the Stakeholders Relationship Committee has a Regular Chairperson |            |                           |  |                         | Yes                 |                   |         |
| Sr  | DIN Number | Name of Committee members | Category 1 of directors                  | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1   | 00399948   | KOMAL JAIN                | Non-Executive - Independent Director     | Chairperson             | 29-02-2020          |                   |         |
| 2   | 08379534   | FAQIR CHAND JANDEY        | Non-Executive - Independent Director     | Member                  | 29-02-2020          |                   |         |
| 3   | 00454285   | NAVDEEP SHARMA            | Non-Executive - Non Independent Director | Member                  | 29-02-2020          |                   |         |



| Risk Management Committee                                       |            |                           |                         |                         |                     |                   |         |
|---|------------|---------------------------|-------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Risk Management Committee has a Regular Chairperson |            |                           |                         |                         | No                  |                   |         |
| Sr  | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |

| Corporate Social Responsibility Committee                                       |            |                           |                         |                         |                     |                   |         |
|---|------------|---------------------------|-------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Corporate Social Responsibility Committee has a Regular Chairperson |            |                           |                         |                         | No                  |                   |         |
| Sr  | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |

| <b>Other Committee</b> |               |                              |                            |                            |                            |         |
|------------------------|---------------|------------------------------|----------------------------|----------------------------|----------------------------|---------|
| Sr                     | DIN<br>Number | Name of Committee<br>members | Name of other<br>committee | Category 1 of<br>directors | Category 2 of<br>directors | Remarks |

| Annexure 1   |   |  |   |                              |  |                              |   |
|--|---|--|---|------------------------------|--|------------------------------|---|
| Annexure 1   |   |  |   |                              |  |                              |   |
| III. Meeting of Board of Directors                               |   |  |   |                              |  |                              |   |
| Disclosure of notes on meeting of board of directors explanatory |   |  |   |                              |  |                              |   |
| Sr   | Date(s) of meeting (if any) in the previous quarter | Date(s) of meeting (if any) in the current quarter | Maximum gap between any two consecutive (in number of days) | Notes for not providing Date | Whether requirement of Quorum met (Yes/No) | Number of Directors present* | No. of Independent Directors attending the meeting* |
| 1  | 29-07-2020  |  |   |                              | Yes  | 4                            | 2   |
| 2  |   | 12-11-2020   | 105   |                              | Yes  | 4                            | 2   |

| Annexure 1   |                                       |   |   |                         |                               |  |                              |   |
|--|---------------------------------------|---|---|-------------------------|-------------------------------|--|------------------------------|---|
| IV. Meeting of Committees                                |                                       |   |   |                         |                               |  |                              |   |
| Disclosure of notes on meeting of committees explanatory |                                       |   |   |                         |                               |  |                              |   |
| Sr   | Name of Committee                     | Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order) | Maximum gap between any two consecutive (in number of days) | Name of other committee | Reason for not providing date | Whether requirement of Quorum met (Yes/No) | Number of Directors present* | No. of Independent Directors attending the meeting* |
| 1  | Audit Committee                       | 29-07-2020  |   |                         |                               | Yes  | 3                            | 2   |
| 2  | Audit Committee                       | 12-11-2020  | 105   |                         |                               | Yes  | 3                            | 2   |
| 3  | Nomination and remuneration committee | 29-07-2020  |   |                         |                               | Yes  | 3                            | 2   |
| 4  | Stakeholders Relationship Committee   | 29-07-2020  |   |                         |                               | Yes  | 3                            | 2   |
| 5  | Stakeholders Relationship Committee   | 12-11-2020  |   |                         |                               | Yes  | 3                            | 2   |

| <b>Annexure 1</b>                    |  |                                  |  |
|--------------------------------------|--|----------------------------------|--|
| <b>V. Related Party Transactions</b> |  |                                  |  |
| Sr                                   | Subject  | Compliance status<br>(Yes/No/NA) | If status is “No” details of non-compliance may be given here. |
| 1                                    | Whether prior approval of audit committee obtained   | NA                               |  |
| 2                                    | Whether shareholder approval obtained for material RPT   | NA                               |  |
| 3                                    | Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee | NA                               |  |

| Annexure 1       |  |                            |
|------------------|--|----------------------------|
| VI. Affirmations |  |                            |
| Sr               | Subject  | Compliance status (Yes/No) |
| 1                | The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015  | Yes                        |
| 2                | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee  | Yes                        |
| 3                | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee                                   | Yes                        |
| 4                | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee                                   | Yes                        |
| 5                | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities) | NA                         |
| 6                | The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.                              | Yes                        |
| 7                | The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.                  | Yes                        |
| 8                | This report and/or the report submitted in the previous quarter has been placed before Board of Directors.   | Yes                        |

| Annexure 1 |                   |  |
|------------|-------------------|--|
| Sr         | Subject           | Compliance status                        |
| 1          | Name of signatory | Jyoti Sud                                |
| 2          | Designation       | Company Secretary and Compliance Officer |



| Signatory Details     |  |
|-----------------------|--|
| Name of signatory     | Jyoti Sud                                |
| Designation of person | Company Secretary and Compliance Officer |
| Place                 | Ludhiana                                 |
| Date                  | 06-01-2021                               |

