

General information about company	
Scrp code	505585
NSE Symbol	NOTLISTED
MSEI Symbol	NOTLISTED
ISIN	INE735U01011
Name of the entity	KOVALAM INVESTMENT AND TRADING COMPANY LIMITED
Date of start of financial year	01-04-2024
Date of end of financial year	31-03-2025
Reporting Quarter	Half Yearly
Date of Report	30-09-2024
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I							
Annexure I to be submitted by listed entity on quarterly basis							
I. Composition of Board of Directors							
Disclosure of notes on composition of board of directors explanatory						Textual Information(1)	
Whether the listed entity has a Regular Chairperson						No	
Whether Chairperson is related to MD or CEO						No	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors
1	Mr	NAVDEEP SHARMA	ADNPS0280J	00454285	Non-Executive - Non Independent Director	Not Applicable	02-06-1959
2	Mr	KOMAL JAIN	AAXPJ7153L	00399948	Non-Executive - Independent Director	Not Applicable	16-11-1954
3	Mrs	MANISHA OSWAL	AABPO2480K	06948181	Non-Executive - Non Independent Director	Not Applicable	10-06-1965
4	Mr	SURESH KUMAR SINGLA	ADDP57049B	00403423	Non-Executive - Independent Director	Not Applicable	07-07-1950

I. Composition of Board of Directors							
Disqualification of Directors under section 164 of the Companies Act, 2013							
Sr	Whether the director is disqualified?		Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	
1	No					Active	
2	No					Active	
3	No					Active	
4	No					Active	

I. Composition of Board of Directors												
Sr	Whether special resolution passed/ (Refer Reg. 17(1A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities, including this listed entity (Refer Regulation 17A of Listing Regulations)	No of independent Directorship in listed entities, including this listed entity (with reference to regulation 17A(1) & 17A(2))	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities, including this listed entity (Refer Regulation 26(3) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN
1	NA		31-05-2006				2	0	2	0		
2	NA		29-02-2020			55	1	1	2	2		
3	NA		16-12-2019				1	0	0	0		
4	Yes	27-06-2022	30-03-2022			30	2	2	3	0		

Text Block	
Textual Information(1)	Presently, The Company does not have regular Chairman. The Company has appointed Sh. Jai Karan Singh as manager (KMF) of the Company to look after day to day work.

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00399948	KOMAL JAIN	Non-Executive - Independent Director	Chairperson	29-02-2020		
2	00454285	NAVDEEP SHARMA	Non-Executive - Non Independent Director	Member	29-02-2020		
3	00403423	SURESH KUMAR SINGLA	Non-Executive - Independent Director	Member	30-03-2022		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00399948	KOMAL JAIN	Non-Executive - Independent Director	Chairperson	29-02-2020		
2	00454285	NAVDEEP SHARMA	Non-Executive - Non Independent Director	Member	29-02-2020		
3	00403423	SURESH KUMAR SINGLA	Non-Executive - Independent Director	Member	30-03-2022		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00399948	KOMAL JAIN	Non-Executive - Independent Director	Chairperson	29-02-2020		
2	00454285	NAVDEEP SHARMA	Non-Executive - Non Independent Director	Member	29-02-2020		
3	00403423	SURESH KUMAR SINGLA	Non-Executive - Independent Director	Member	30-03-2022		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee							
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks	

Annexure 1							
III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the meeting	No. of Independent Directors attending the meeting*
1	23-05-2024				Yes	4	2
2		07-08-2024	75		Yes	4	2

Annexure 1									
IV. Meeting of Committees									
Disclosure of notes on meeting of committees explanatory									
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*
1	Audit Committee	23-05-2024				Yes	3	3	0
2	Audit Committee	07-08-2024	75			Yes	3	3	0
3	Stakeholders Relationship Committee	23-05-2024				Yes	3	3	0
4	Stakeholders Relationship Committee	07-08-2024	75			Yes	3	3	0
5	Nomination and remuneration committee	07-08-2024				Yes	3	3	0

Annexure 1				
V. Related Party Transactions				
Sr	Subject		Compliance status (Yes/No/NA)	If status is Not/Details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained		Yes	
2	Whether shareholder approval obtained for material RPT		Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee		Yes	

Annexure 1				
VI. Affirmations				
Sr	Subject			Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015			Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee			Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee			Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee			Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)			NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.			Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.			Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.			Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Jyoti Sud
2	Designation	Company Secretary and Compliance Officer

Annexure III					
III. Affirmations					
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is No/Details of non-compliance may be given here.	
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes		
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes		
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes		
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes		
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes		
6	Submission of Annual Secretarial Compliance Report	24A(2)	Yes		
7	Whether Corporate Governance Report disclosed in Annual Report	34(3) read with para C of Schedule V	Yes		

Annexure III		
1	Name of signatory	Jyoti Sud
2	Designation	Company Secretary and Compliance Officer

1	Name of signatory	Jyoti Sud
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure			
Applicability of disclosure		Applicable	
Reason for Non Applicability		Textual Information(1)	
I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below			
(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	0	0	
Promoter Group or any other entity controlled by them	64500000	33500000	
Directors (including relatives) or any other entity controlled by them	0	0	
KMPs or any other entity controlled by them	0	0	
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0
(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0
(D) Additional Information		Textual Information(2)	
II. Affirmations			
Affirmations		Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.		Yes	Textual Information(3)
Name	Jyoti Sud		
Designation	CFO		
Place	Ludhiana		
Date	16-10-2024		

Details of Cyber Security Incidence			
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter			No
Number of cyber security incidence or breaches or loss of data event occurred during the quarter			
Sr.	Date of the event		Brief details of the event

Signatory Details	
Name of signatory	Jyoti Sud
Designation of person	Company Secretary and Compliance Officer
Place	Ludhiana
Date	16-10-2024