

General information about company	
Scrip code	505585
NSE Symbol	NA
MSEI Symbol	NA
ISIN	INE735U01011
Name of the entity	KOVALAM INVESTMENT AND TRADING COMPANY LTD
Date of start of financial year	01-04-2018
Date of end of financial year	31-03-2019
Reporting Quarter	Half Yearly
Date of Report	30-09-2018
Risk management committee	Not Applicable

Annexure I	
Annexure I to be submitted by listed entity on quarterly basis	
I. Composition of Board of Directors	
Disclosure of notes on composition of board of directors explanatory	Textual Information(1)
Is there any change in information of board of directors compare to previous quarter	No

Text Block	
Textual Information(1)	Presently Sh. Pawan Kumar Sharma Director of the company looks after all the compliances and is also the compliance officer of the company.

Annexure 1	
II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	
Is there any change in information of committees compare to previous quarter	No

Audit Committee Details						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks	

Nomination and remuneration committee						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks	

Stakeholders Relationship Committee						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks	

Risk Management Committee						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks	

Corporate Social Responsibility Committee						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks	

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1				
Annexure 1				
III. Meeting of Board of Directors				
Disclosure of notes on meeting of board of directors explanatory				
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	
1	28-04-2018			
2	28-05-2018		29	
3		14-08-2018	77	
4		03-09-2018	19	
5		16-09-2018	12	

Annexure 1							
IV. Meeting of Committees							
Disclosure of notes on meeting of committees explanatory							
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee
1	Audit Committee	14-08-2018	Yes	3	28-05-2018	77	
2	Stakeholders Relationship Committee	14-08-2018	Yes	3	28-05-2018	77	

3	Nomination and remuneration committee	03-09-2018	Yes	3	28-05-2018	97	
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Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	PAWAN KUMAR SHARMA
2	Designation	Director

Text Block	
Textual Information(1)	Presently Sh Pawan Kumar Sharma , Director of the company looks after all the compliances and is also the compliance officer of the company

Annexure III				
Annexure III to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year				
I. Affirmations				
Sr	Broad heading	Regulation Number	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Whether “Corporate Governance Report” disclosed in Annual Report	34(3) read with para C of Schedule V	NA	
	Any other information to be provided		Textual Information(1)	

Text Block		
Textual Information(1)	In view of the SEBI circular No. CIR/CFD/POLICY CELL/2/2014 dated 17.04.2014 which was further clarified/ revised vide SEBI circular No. CIR/CFD/POLICY CELL/7/2014 /dated 15.09.2014 the Corporate Governance clause under the listing agreement was not applicable to our company for the FY 2017-18 as the paid up capital reserves and surpluses were below the prescribed limit as per audited Balance Sheet as at 31.03.2017 And if at any time it becomes applicable at any date then compliance with corporate governance provisions /regulations will be done within six months from such date.and accordingly Corporate Governance Report was filed for June 2018 quarter.	

Annexure III		
1	Name of signatory	PAWAN KUMAR SHARMA
2	Designation	Director

Signatory Details	
Name of signatory	PAWAN KUMAR SHARMA
Designation of person	Director
Place	LUDHIANA
Date	12-10-2018