

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Nahar Capital and Financial Services Limited**
2. Quarter ending - **30-Sep-2023**

i. **Composition Of Board Of Director**

T i t l e (M r . / M s)	Nam e o f t h e D i r e c t o r	DIN	PA N	Categ o r y (Chairp erson /Executi ve/Non- Executi ve/ Indepen dent/ Nomine e)	S u b C a t e g o r y	Ini tia l D a t e o f A p p o i n t m e n t	D a t e o f A p p o i n t m e n t	D a t e o f c e s s a t i o n	T e n u r e	D a t e o f B i r t h	W h e t h e r t h e d i r e c t o r i s d i s q u a l i f i e d ?	S t a r t D a t e o f d i s q u a l i f i c a t i o n	E n d D a t e o f d i s q u a l i f i c a t i o n	D e t a i l s o f d i s q u a l i f i c a t i o n	C u r r e n t s t a t u s	W h e t h e r s p e c i a l r e s o l u t i o n p a s s e d ? [Refer Reg. 17 (1A) of Listing Regul ations]	D a t e o f p a s s i n g s p e c i a l r e s o l u t i o n	No. o f D i r e c t o r s h i p i n l i s t e d e n t i t i e s i n c l u d i n g t h i s l i s t e d e n t i t y [i n r e f e r e n c e t o R e g u l a t i o n 17A (1)]	No of Indep enden t D i r e c t o r s h i p i n l i s t e d e n t i t i e s i n c l u d i n g t h i s l i s t e d e n t i t y [i n r e f e r e n c e t o p r o v i s i o n t o r e g u l a t i o n 17A (1)]	No of membe rships i n A u d i t / S t a k e h o l d e r C o m m i t t e e (s) i n c l u d i n g t h i s l i s t e d e n t i t y	No of post o f C h a i r p e r s o n i n A u d i t / S t a k e h o l d e r C o m m i t t e e h e l d i n l i s t e d e n t i t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	M e m b e r s h i p i n C o m m i t t e e s o f t h e C o m p a n y	R e m a r k s
Mr .	JAW A H A R L A L O S W A L	004 638 66	AAB PO2 481J	C & NED		31- Ma r- 200 6	31 - M a r - 20			01 - O c t- 19 43	N o				A c t i v e	Yes	28 - S e p- 20 18	5	0	0	0		

							06																	
Mr .	DIN ESH OSW AL	006 072 90	AAB PO2 476P	ED	C E O- M D	01- De c- 200 7	01- - Ja n- 20 22			04 - O ct- 19 65	N o				A cti ve	NA		4	0	0	0			
Mr .	KA MAL OSW AL	004 932 13	AAC PO9 697F	NED		31- Ma r- 200 6	31- - M ar - 20 06			22 - Se p- 19 62	N o				A cti ve	NA		5	0	1	0			
Mr .	DIN ESH GOG NA	004 986 70	AAV PG4 248 H	NED		12- Jan - 200 7	12- - Ja n- 20 07			07 - Ju l- 19 53	N o				A cti ve	NA		6	0	8	2	RMC		
Mr .	S K SHA RMA	004 027 12	AFT PS66 25R	NED		12- Jan - 200 7	12- - Ja n- 20 07			04 - Se p- 19 52	N o				A cti ve	NA		3	0	3	0	AC,S C		
Mr s.	MA NIS HA GUP TA	069 102 42	AGB PG4 907F	ID		26- Sep - 201 7	26- - Se p- 20 22		6 0	19 - Ju n- 19 79	N o				A cti ve	NA		6	6	7	2	NRC		
Mr .	VIJA Y ASD HIR	066 711 74	AB MPA 0254 D	ID		26- Sep - 201	26- - Se p-		6 0	27 - Ju l-	N o				A cti ve	Yes	29 - Se p-	2	2	4	3	AC,S C		

					7	20			19							20						
						22			47							21						
Mr .	ROS HAN LAL BEH L	064 437 47	ABK PB0 301 N	ID		29-Sep - 2020	29- Se p- 20 20		6 0	28- Ja n- 19 57	N o				A cti ve	NA		6	6	10	5	AC, RC, NRC
Mr .	YAS H PAU L SAC HDE VA	020 123 37	AFV PS95 26F	ID		24-Au g- 2022	24- A ug - 20 22		6 0	05- M ar - 19 62	N o				A cti ve	NA		3	3	0	0	NRC
Mr .	RAJ AN DHI R	096 324 51	ABA PD2 667 H	ID		24-Au g- 2022	24- A ug - 20 22		6 0	23- Fe b- 19 58	N o				A cti ve	NA		2	2	1	1	SC,R C

Company Remarks	
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	VIJAY ASDHIR	ID	Chairperson	12-Aug-2020	

2	S K SHARMA	NED	Member	29-May-2014	
3	ROSHAN LAL BEHL	ID	Member	01-Apr-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	RAJAN DHIR	ID	Chairperson	24-Aug-2022	
2	S K SHARMA	NED	Member	29-May-2014	
3	VIJAY ASDHIR	ID	Member	01-Apr-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	ROSHAN LAL BEHL	ID	Chairperson	29-Sep-2020	
2	DINESH GOGNA	NED	Member	31-Jan-2008	
3	RAJAN DHIR	ID	Member	24-Aug-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

d. Nomination and Remuneration Committee

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	YASH PAUL SACHDEVA	ID	Chairperson	24-Aug-2022	
2	ROSHAN LAL BEHL	ID	Member	29-Sep-2020	
3	MANISHA GUPTA	ID	Member	26-Sep-2017	

Company Remarks	
-----------------	--

Whether Regular chairperson appointed	Yes
---------------------------------------	-----

iii. **Meeting of Board of Directors**

Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	No. of Independent Directors attending the meeting
30-May-2023	Yes	10	10	5
09-Aug-2023	Yes	10	10	5

Company Remarks	
Maximum gap between any two consecutive (in number of days)	70

iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	30-May-2023	Yes	3	3	2	0
Audit Committee	09-Aug-2023	Yes	3	3	2	0
Stakeholders Relationship Committee	27-May-2023	Yes	3	3	2	0
Stakeholders Relationship Committee	08-Aug-2023	Yes	3	3	2	0

Nomination & Remuneration Committee	27-May-2023	Yes	3	3	3	0
Nomination & Remuneration Committee	08-Aug-2023	Yes	3	3	3	0
Risk Management Committee	27-May-2023	Yes	3	3	2	0
Risk Management Committee	08-Aug-2023	Yes	3	3	2	0

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	70

v. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
--	--

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 1000 listed entities) - **Yes**

3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

--

Name : ANJALI MODGIL
Designation : Company Secretary & Compliance Officer

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

--

Name : ANJALI MODGIL
Designation : Company Secretary & Compliance Officer

ANNEXURE IV

%symbol%	%companyName%	%quarterEnded%
----------	---------------	----------------

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

(D) If the Listed Entity would like to provide any other information the same may be indicated here

--

Affirmations

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company

Company Remarks in case of non-compliant status

--

Name:

Designation: anx4Designation

Place:

Date: