

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Nahar Capital and Financial Services Limited**
2. Quarter ending - **31-Mar-2023**

i. **Composition Of Board Of Director**

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of Cessation	Tenure	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17 (1A) of Listing Regulations]	Date of passing special resolution	No. of Directors hip in listed entities including this listed entity [in reference to Regulation 17A (1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A (1)]	No of members in Audit/ Stakeholder Committee (s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company
Mr.	Jawahar Lal Oswal	00463866	AABP02481J	C, NED		31-Mar-2006	31-Mar-2006			01-Oct-1943	No				Active	Yes	28-Sep-2018	5	0	0	0	

Mr .	Din esh Oswal	006 072 90	AA BP O24 76P	ED	C E O- M D	01- Dec- 200 7	01- Jan- 2022			04- Oct- 1965	N o				Act ive	NA		4	0	0	0	
Mr .	Ka mal Oswal	004 932 13	AA CP O96 97F	NED		31- Mar - 200 6	31- Mar- 2006			22- Sep- 1962	N o				Act ive	NA		5	0	1	0	
Mr .	Din esh Go gna	004 986 70	AA VP G42 48H	NED		12- Jan- 200 7	12- Jan- 2007			07- Jul- 1953	N o				Act ive	NA		6	0	8	2	RM C
Mr .	S.K . Sha rma	004 027 12	AF TPS 662 5R	NED		12- Jan- 200 7	12- Jan- 2007			04- Sep- 1952	N o				Act ive	NA		3	0	3	0	AC, SC
Mr s.	Ma nis ha Gu pta	069 102 42	AG BP G49 07F	ID		26- Sep- 201 7	26- Sep- 2022		60	19- Jun- 1979	N o				Act ive	NA		6	6	7	1	NR C
Mr .	Vij ay Asd hir	066 711 74	AB MP A02 54D	ID		26- Sep- 201 7	26- Sep- 2022		60	27- Jul- 1947	N o				Act ive	Yes	29- Sep- 2021	2	2	4	3	AC, SC
Mr .	Ros han Lal Beh l	064 437 47	AB KP B03 01N	ID		29- Sep- 202 0	29- Sep- 2020		60	28- Jan- 1957	N o				Act ive	NA		6	6	9	5	AC, RC, NR C
Mr .	Yas h Pau l	020 123 37	AF VP S95 26F	ID		24- Aug - 202	24- Aug- 2022		60	05- Mar- 1962	N o				Act ive	NA		3	3	0	0	NR C

	Sac hde va					2																	
Mr .	Raj an Dhi r	096 324 51	AB AP D26 67H	ID		24- Aug - 202 2	24- Aug- 2022		60	23- Feb- 1958	N o				Act ive	NA		2	2	1	1	SC, RC	

Company Remarks	
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Vijay Asdhir	ID	Chairperson	12-Aug-2020	
2	S.K. Sharma	NED	Member	29-May-2014	
3	Roshan Lal Behl	ID	Member	01-Apr-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Rajan Dhir	ID	Chairperson	24-Aug-2022	
2	S.K. Sharma	NED	Member	29-May-2014	
3	Vijay Asdhir	ID	Member	01-Apr-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Roshan Lal Behl	ID	Chairperson	29-Sep-2020	
2	Dinesh Gogna	NED	Member	31-Jan-2008	
3	Rajan Dhira	ID	Member	24-Aug-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

d. Nomination and Remuneration Committee

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Yash Paul Sachdeva	ID	Chairperson	24-Aug-2022	
2	Roshan Lal Behl	ID	Member	29-Sep-2020	
3	Manisha Gupta	ID	Member	26-Sep-2017	

Company Remarks	
Whether Regular chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	No. of Independent Directors attending the meeting
10-Nov-2022	Yes	10	10	5
04-Feb-2023	Yes	10	10	5

Company Remarks	
Maximum gap between any two consecutive (in number of days)	85

iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	10-Nov-2022	Yes	3	3	2	0
Audit Committee	04-Feb-2023	Yes	3	3	2	0
Stakeholders Relationship Committee	09-Nov-2022	Yes	3	3	2	0
Stakeholders Relationship Committee	03-Feb-2023	Yes	3	3	2	0
Risk Management Committee	09-Nov-2022	Yes	3	3	2	0
Risk Management Committee	03-Feb-2023	Yes	3	3	2	0

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	85

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 1000 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : **ANJALI MODGIL**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Item	Compliance status	Company Remark	Website
As per regulation 46(2) of the LODR:			
Details of business	Yes		http://owmnahar.com/nahar_cf/investment.php
Terms and conditions of appointment of	Yes		http://owmnahar.com/nahar_cf/pdf/Draft-NCFS.pdf
Composition of various committees of	Yes		http://owmnahar.com/nahar_cf/committees-of-directors.php
Code of conduct of board of directors and	Yes		http://owmnahar.com/nahar_cf/pdf/capital code conduct 1.pdf
Details of establishment of vigil mechanism/	Yes		http://owmnahar.com/nahar_cf/pdf/vigil mechanism.pdf
Criteria of making payments to non-	Yes		http://owmnahar.com/nahar_cf/pdf/NAHARCAPPOINTMENTANDREMU
Policy on dealing with related party	Yes		http://owmnahar.com/nahar_cf/pdf/related party transaction policy 22.
Policy for determining 'material' subsidiaries	Not		
Details of familiarization programs imparted	Yes		http://owmnahar.com/nahar_cf/pdf/Familiarization-Program2022A.pdf
Email address for grievance redressal and other relevant details entity who are	Yes		http://owmnahar.com/nahar_cf/s-information.php
Contact information of the designated	Yes		http://owmnahar.com/nahar_cf/s-information.php
Financial results	Yes		http://owmnahar.com/nahar_cf/financial-result.php
Shareholding pattern	Yes		http://owmnahar.com/nahar_cf/pattern.php
Details of agreements entered into with the media companies and/or their associates	Not Applicable		
Schedule of analyst or institutional investor meet and presentations made by the listed	Not Applicable		
New name and the old name of the listed	Not		
Advertisements as per regulation 47 (1)	Yes		http://owmnahar.com/nahar_cf/newspaper-publication.php
Credit rating or revision in credit rating	Yes		http://owmnahar.com/nahar_cf/credit-ratings.php
Separate audited financial statements of	Not		
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		http://owmnahar.com/nahar_cf/about1.php
Materiality Policy as per Regulation 30	Yes		http://owmnahar.com/nahar_cf/pdf/policy for determination of materia
Dividend Distribution policy as per	Yes		http://owmnahar.com/nahar_cf/pdf/Dividend Distribution Policy.pdf
It is certified that these contents on the	Yes		http://owmnahar.com/nahar_cf/about1.php

II Annual Affirmations			
Particulars	Regulation Number	Compliance status	Company Remark
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1), 17(1A) & 17(1B)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Quorum of Board meeting</i>	17(2A)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment & Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination & remuneration committee</i>	19(1) & (2)	Yes	
<i>Quorum of Nomination and Remuneration Committee meeting</i>	19(2A)	Yes	
<i>Meeting of nomination & remuneration committee</i>	19(3A)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1), 20(2) and 20(2A)	Yes	
<i>Meeting of stakeholder relationship committee</i>	20(3A)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes	
<i>Meeting of Risk Management Committee</i>	21(3A)	Yes	
<i>Vigil Mechanism</i>	22	Yes	

<i>Policy for related party Transaction</i>	23(1), (1A), (5), (6)	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Yes	
<i>Disclosure of related party transactions on consolidated basis</i>	23(9)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable	
<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2), (3), (4), (5) & (6)	Not Applicable	
<i>Annual Secretarial Compliance Report</i>	24(A)	Yes	
<i>Alternate Director to Independent Director</i>	25(1)	Not Applicable	
<i>Maximum Tenure</i>	25(2)	Yes	
<i>Meeting of independent directors</i>	25(3) & (4)	Yes	
<i>Familiarization of independent directors</i>	25(7)	Yes	
<i>Declaration from Independent Director</i>	25(8) & (9)	Yes	
<i>D & O Insurance for Independent</i>	25(10)	Yes	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non-Executive Directors</i>	26(4)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	

Other Information	
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Not Applicable**

Other Information	
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Name : ANJALI MODGIL
Designation : Company Secretary & Compliance Officer

ANNEXURE IV

Additional Half yearly Disclosure		
Applicability of disclosure	Not Applicable	
Reason for Non Applicability	No any loan/guarantees/security provided by the Company directly or indirectly to promoter or any other entity controlled by them during the six months	

Name: ANJALI MODGIL
Designation: Company Secretary and Compliance Officer
Place: LUDHIANA
Date: 11-04-2023