

# ANNEXURE I

## Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **NAHAR CAPITAL AND FINANCIAL SERVICES LIMITED**
2. Quarter ending - **31-03-2021**

### i. Composition Of Board Of Director

| T<br>i<br>t<br>l<br>e<br><br>(<br>M<br>r<br>.<br>/<br>M<br>s<br>) | Name<br>of<br>the<br>Director   | D<br>I<br>N                          | P<br>A<br>N                | Cate<br>gory<br>(Chair<br>pers<br>on<br>/Exec<br>utive/<br>Non-<br>Exec<br>utive/<br>Inde<br>pend<br>ent/<br>Nomi<br>nee) | S<br>u<br>b<br><br>C<br>a<br>t<br>e<br>g<br>o<br>r<br>y | Initi<br>al<br>Date<br>of<br>Appoi<br>ntment | Date<br>of<br>Appoi<br>ntment | Date<br>of<br>ces<br>sation | T<br>e<br>n<br>u<br>r<br>e | D<br>a<br>t<br>e<br>of<br>B<br>i<br>r<br>t<br>h | Wh<br>eth<br>er<br>spe<br>cial<br>res<br>olut<br>ion<br>pas<br>sed<br>? | D<br>a<br>t<br>e<br>of<br>p<br>a<br>ss<br>in<br>g<br>s<br>p<br>e<br>ci<br>al<br>res<br>olut<br>ion | No.<br>of<br>Direct<br>ors<br>hip<br>in<br>list<br>ed<br>ent<br>itie<br>s<br>incl<br>uding<br>this<br>list<br>ed<br>ent<br>ity | No of<br>Indepe<br>ndent<br>Direct<br>orship<br>in<br>listed<br>entitie<br>s<br>incl<br>uding<br>this<br>listed<br>entity | No of<br>memb<br>ers<br>hips<br>in<br>Audit/<br>Stake<br>holder<br>Comm<br>itte<br>e(s)<br>incl<br>uding<br>this<br>list<br>ed<br>ent<br>ity | No of<br>post<br>of<br>Chair<br>pers<br>on in<br>Audit<br>/<br>Stake<br>holder<br>Comm<br>itte<br>e<br>held<br>in<br>listed<br>entiti<br>es<br>incl<br>uding<br>this<br>listed<br>entit<br>y | Mem<br>bersh<br>ip in<br>Com<br>mitte<br>es of<br>the<br>Comp<br>any | R<br>e<br>m<br>ar<br>ks |
|---|---------------------------------|--------------------------------------|----------------------------|---|---|--|-------------------------------|-----------------------------|----------------------------|---|---|--|--|---|--|--|--|-------------------------|
| M<br>r.   | Jaw<br>ahar<br>Lal<br>Os<br>wal | 0<br>0<br>4<br>6<br>3<br>8<br>6<br>6 | AA<br>BP<br>O2<br>481<br>J | C,NE<br>D   |   | 31-<br>Mar<br>-<br>200<br>6                  | 31-<br>Mar-<br>2006           |                             |                            | 01<br>-<br>Oct<br>-<br>19<br>43                 | Yes   | 28<br>-<br>Sep-<br>20<br>18  | 5  | 0   | 0  | 0  |  |                         |
| M<br>r.   | Din<br>esh<br>Os<br>wal         | 0<br>0<br>6<br>0<br>7<br>2<br>9<br>0 | AA<br>BP<br>O2<br>476<br>P | ED  | C<br>E<br>O<br>-<br>M<br>D                              | 01-<br>Dec<br>-<br>200<br>7                  | 01-<br>Jan-<br>2017           |                             |                            | 04<br>-<br>Oct<br>-<br>19<br>65                 | NA  |  | 4  | 0   | 0  | 0  |  |                         |
| M<br>r.   | Ka<br>mal<br>Os<br>wal          | 0<br>0<br>4<br>9<br>3<br>2           | AA<br>CP<br>O9<br>697<br>F | NED   |   | 31-<br>Mar<br>-<br>200<br>6                  | 31-<br>Mar-<br>2006           |                             |                            | 22<br>-<br>Sep-<br>19<br>62                     | NA  |  | 5  | 0   | 1  | 0  |  |                         |

|          |                                    |                                      |                            |     |  |                             |                     |    |                                     |    |  |   |   |   |   |               |  |
|----------|------------------------------------|--------------------------------------|----------------------------|-----|--|-----------------------------|---------------------|----|-------------------------------------|----|--|---|---|---|---|---------------|--|
|          |                                    | 1<br>3                               |                            |     |  |                             |                     |    |                                     |    |  |   |   |   |   |               |  |
| M<br>r.  | Din<br>esh<br>Gog<br>na            | 0<br>0<br>4<br>9<br>8<br>6<br>7<br>0 | AA<br>VP<br>G4<br>248<br>H | NED |  | 12-<br>Jan-<br>200<br>7     | 12-<br>Jan-<br>2007 |    | 07<br>-<br>Ju<br>l-<br>19<br>53     | NA |  | 6 | 0 | 8 | 2 |               |  |
| M<br>r.  | S.K<br>.<br>Sha<br>rma             | 0<br>0<br>4<br>0<br>2<br>7<br>1<br>2 | AF<br>TP<br>S66<br>25<br>R | NED |  | 12-<br>Jan-<br>200<br>7     | 12-<br>Jan-<br>2007 |    | 04<br>-<br>Se<br>p-<br>19<br>52     | NA |  | 3 | 0 | 3 | 0 | AC,SC         |  |
| M<br>rs. | Ma<br>nish<br>a<br>Gup<br>ta       | 0<br>6<br>9<br>1<br>0<br>2<br>4<br>2 | AG<br>BP<br>G4<br>907<br>F | ID  |  | 26-<br>Sep<br>-<br>201<br>7 | 26-<br>Sep-<br>2017 | 60 | 19<br>-<br>Ju<br>n-<br>19<br>79     | NA |  | 5 | 5 | 5 | 1 | NRC           |  |
| M<br>r.  | Vija<br>y<br>Asd<br>hir            | 0<br>6<br>6<br>7<br>1<br>1<br>7<br>4 | AB<br>MP<br>A0<br>254<br>D | ID  |  | 26-<br>Sep<br>-<br>201<br>7 | 26-<br>Sep-<br>2017 | 60 | 27<br>-<br>Ju<br>l-<br>19<br>47     | NA |  | 4 | 4 | 5 | 4 | AC            |  |
| M<br>r.  | S.K<br>.<br>Sin<br>gla             | 0<br>0<br>4<br>0<br>3<br>4<br>2<br>3 | AD<br>DP<br>S70<br>49<br>B | ID  |  | 29-<br>Nov<br>-<br>200<br>8 | 26-<br>Sep-<br>2017 | 60 | 07<br>-<br>Ju<br>l-<br>19<br>50     | NA |  | 5 | 5 | 6 | 2 | AC,SC,<br>NRC |  |
| M<br>r.  | Am<br>rik<br>Sin<br>gh<br>Soh<br>i | 0<br>3<br>5<br>7<br>5<br>0<br>2<br>2 | AF<br>IPS<br>903<br>4F     | ID  |  | 30-<br>Sep<br>-<br>201<br>3 | 26-<br>Sep-<br>2017 | 60 | 01<br>-<br>A<br>ug<br>-<br>19<br>47 | NA |  | 4 | 4 | 3 | 1 | SC            |  |
| M<br>r.  | Ros<br>han<br>Lal<br>Beh<br>l      | 0<br>6<br>4<br>4<br>3<br>7<br>4<br>7 | AB<br>KP<br>B0<br>301<br>N | ID  |  | 29-<br>Sep<br>-<br>202<br>0 | 29-<br>Sep-<br>2020 | 60 | 28<br>-<br>Ja<br>n-<br>19<br>57     | NA |  | 3 | 3 | 2 | 1 | NRC           |  |

|   |     |
|---|-----|
| Company Remarks                             |     |
| Whether Permanent chairperson appointed     | Yes |
| Whether Chairperson is related to MD or CEO | Yes |

## ii. Composition of Committees

### a. Audit Committee

| Sr. No. | Name of the Director | Category | Chairperson/Membership | Appointment Date | Cessation Date |
|---------|----------------------|----------|------------------------|------------------|----------------|
| 1       | Vijay Asdhir         | ID       | Chairperson            | 12-Aug-2020      |                |
| 2       | S.K. Sharma          | NED      | Member                 | 29-May-2014      |                |
| 3       | S.K. Singla          | ID       | Member                 | 29-May-2014      |                |

|   |     |
|---|-----|
| Company Remarks                         |     |
| Whether Permanent chairperson appointed | Yes |

### b. Stakeholders Relationship Committee

| Sr. No. | Name of the Director | Category | Chairperson/Membership | Appointment Date | Cessation Date |
|---------|----------------------|----------|------------------------|------------------|----------------|
| 1       | Amrik Singh Sohi     | ID       | Chairperson            | 29-May-2014      |                |
| 2       | S.K. Sharma          | NED      | Member                 | 29-May-2014      |                |
| 3       | S.K. Singla          | ID       | Member                 | 29-May-2014      |                |

|   |     |
|---|-----|
| Company Remarks                         |     |
| Whether Permanent chairperson appointed | Yes |

### c. Risk Management Committee

| Sr. No. | Name of the Director | Category | Chairperson/Membership | Appointment Date | Cessation Date |
|---------|----------------------|----------|------------------------|------------------|----------------|
|---------|----------------------|----------|------------------------|------------------|----------------|

|   |  |
|---|--|
| Company Remarks                         |  |
| Whether Permanent chairperson appointed |  |

### d. Nomination and Remuneration Committee

| Sr. No. | Name of the Director | Category | Chairperson/Membership | Appointment Date | Cessation Date |
|---------|----------------------|----------|------------------------|------------------|----------------|
| 1       | S.K. Singla          | ID       | Chairperson            | 29-May-2014      |                |
| 2       | Roshan Lal Behl      | ID       | Member                 | 29-Sep-2020      |                |
| 3       | Manisha Gupta        | ID       | Member                 | 26-Sep-2017      |                |

|   |     |
|---|-----|
| Company Remarks                         |     |
| Whether Permanent chairperson appointed | Yes |

## iii. Meeting of Board of Directors

| Date(s) of Meeting (if any) in the previous quarter | Date(s) of Meeting (if any) in the relevant quarter | Whether requirement of Quorum met | Number of Directors present | Number of Independent Directors present |
|---|---|-----------------------------------|-----------------------------|---|
| 10-Nov-2020   | 03-Feb-2021   | Yes                               | 10                          | 5                                       |
|   |   |                                   |                             |   |

|   |    |
|---|----|
| Company Remarks   |    |
| Maximum gap between any two consecutive (in number of days) | 84 |

iv. **Meeting of Committees**

| Name of the Committee | Date(s) of meeting during of the committee in the previous quarter | Date(s) of meeting of the committee in the relevant quarter | Whether requirement of Quorum met (Yes/No) | Number of Directors present | Number of independent directors present |
|-----------------------|--|---|--|-----------------------------|---|
| Audit Committee       | 10-Nov-2020  | 03-Feb-2021   | Yes  | 3                           | 2                                       |

|  |    |
|--|----|
| Company Remarks  |    |
| Maximum gap between any two consecutive (in number of days) [Only for Audit Committee] | 84 |

v. **Related Party Transactions**

| Subject  | Compliance status (Yes/No/NA) | Remark |
|--|-------------------------------|--------|
| Whether prior approval of audit committee obtained   | Yes                           |        |
| Whether shareholder approval obtained for material RPT   | Not Applicable                |        |
| Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee           | Not Applicable                |        |
| Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions |                               |        |

**VI. Affirmations**

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
- The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - Audit Committee - **Yes**
  - Nomination & remuneration committee - **Yes**
  - Stakeholders relationship committee - **Yes**
  - Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
  - Any comments/observations/advice of Board of Directors may be mentioned here:

**Comments:**

Name : ANJALI MODGIL  
Designation : COMPANY SECRETARY & COMPLIANCE OFFICER

## ANNEXURE II

**Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

| <b>I. Disclosure on website in terms of Listing Regulations</b>  |                                      |                       |                       |
|--|--------------------------------------|-----------------------|-----------------------|
| <b>Item</b>  | <b>Compliance status (Yes/No/NA)</b> | <b>Company Remark</b> | <b>Website</b>        |
| <b>As per regulation 46(2) of the LODR:</b>  |                                      |                       |                       |
| Details of business  | Yes                                  |                       | www.owmnahar.com      |
| Terms and conditions of appointment of   | Yes                                  |                       | www.owmnahar.com      |
| Composition of various committees of   | Yes                                  |                       | www.owmnahar.com      |
| Code of conduct of board of directors and  | Yes                                  |                       | www.owmnahar.com      |
| Details of establishment of vigil mechanism/   | Yes                                  |                       | www.owmnahar.com      |
| Criteria of making payments to non-  | Yes                                  |                       | www.owmnahar.com      |
| Policy on dealing with related party   | Yes                                  |                       | www.owmnahar.com      |
| Policy for determining 'material' subsidiaries   | Not Applicable                       |                       |                       |
| Details of familiarization programs imparted   | Yes                                  |                       | www.owmnahar.com      |
| Email address for grievance redressal and other relevant details entity who are  | Yes                                  |                       | www.owmnahar.com      |
| Contact information of the designated  | Yes                                  |                       | www.owmnahar.com      |
| Financial results  | Yes                                  |                       | www.owmnahar.com      |
| Shareholding pattern   | Yes                                  |                       | www.owmnahar.com      |
| Details of agreements entered into with the media companies and/or their associates                                      | Not Applicable                       |                       |                       |
| Schedule of analyst or institutional investor meet and presentations made by the listed                                  | Not Applicable                       |                       |                       |
| New name and the old name of the listed  | Not Applicable                       |                       |                       |
| Advertisements as per regulation 47 (1)  | Yes                                  |                       | www.owmnahar.com      |
| Credit rating or revision in credit rating   | Yes                                  |                       | www.owmnahar.com      |
| Separate audited financial statements of   | Not Applicable                       |                       |                       |
| <b>As per other regulations of the LODR:</b>   |                                      |                       |                       |
| Whether company has provided information under separate section on its website as per Regulation 46(2)                   | Yes                                  |                       | www.owmnahar.com      |
| Materiality Policy as per Regulation 30  | Yes                                  |                       | www.owmnahar.com      |
| Dividend Distribution policy as per  | Not Applicable                       |                       |                       |
| It is certified that these contents on the   | Yes                                  |                       | www.owmnahar.com      |
| <b>II Annual Affirmations</b>  |                                      |                       |                       |
| <b>Particulars</b>   | <b>Regulation Number</b>             | <b>Compliance</b>     | <b>Company Remark</b> |
| <i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i> | 16(1)(b) & 25(6)                     | Yes                   |                       |
| <i>Board composition</i>   | 17(1), 17(1A) & 17(1B)               | Yes                   |                       |
| <i>Meeting of Board of directors</i>   | 17(2)                                | Yes                   |                       |
| <i>Quorum of Board meeting</i>   | 17(2A)                               | Yes                   |                       |
| <i>Review of Compliance Reports</i>  | 17(3)                                | Yes                   |                       |
| <i>Plans for orderly succession for appointments</i>   | 17(4)                                | Yes                   |                       |
| <i>Code of Conduct</i>   | 17(5)                                | Yes                   |                       |
| <i>Fees/compensation</i>   | 17(6)                                | Yes                   |                       |
| <i>Minimum Information</i>   | 17(7)                                | Yes                   |                       |
| <i>Compliance Certificate</i>  | 17(8)                                | Yes                   |                       |
| <i>Risk Assessment &amp; Management</i>  | 17(9)                                | Yes                   |                       |

|  |                         |                |  |
|--|-------------------------|----------------|--|
| <i>Performance Evaluation of Independent Directors</i>   | 17(10)                  | Yes            |  |
| <i>Recommendation of Board</i>   | 17(11)                  | Yes            |  |
| <i>Maximum number of directorship</i>  | 17A                     | Yes            |  |
| <i>Composition of Audit Committee</i>  | 18(1)                   | Yes            |  |
| <i>Meeting of Audit Committee</i>  | 18(2)                   | Yes            |  |
| <i>Composition of nomination &amp; remuneration committee</i>  | 19(1) & (2)             | Yes            |  |
| <i>Quorum of Nomination and Remuneration Committee meeting</i>   | 19(2A)                  | Yes            |  |
| <i>Meeting of nomination &amp; remuneration committee</i>  | 19(3A)                  | Yes            |  |
| <i>Composition of Stakeholder Relationship Committee</i>   | 20(1), 20(2) and 20(2A) | Yes            |  |
| <i>Meeting of stakeholder relationship committee</i>   | 20(2A)                  | Yes            |  |
| <i>Composition and role of risk management committee</i>   | 21(1),(2),(3),(4)       | Not Applicable |  |
| <i>Meeting of Risk Management Committee</i>  | 22                      | Not Applicable |  |
| <i>Vigil Mechanism</i>   | 22                      | Yes            |  |
| <i>Policy for related party Transaction</i>  | 23(1),(1A),(5),(6),(    | Yes            |  |
| <i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>                                   | 23(2), (3)              | Yes            |  |
| <i>Approval for material related party transactions</i>  | 23(4)                   | Not Applicable |  |
| <i>Disclosure of related party transactions on consolidated basis</i>  | 23(9)                   | Yes            |  |
| <i>Composition of Board of Directors of unlisted material Subsidiary</i>   | 24(1)                   | Not Applicable |  |
| <i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>                               | 24(2),(3),(4),(5) & (6) | Not Applicable |  |
| <i>Annual Secretarial Compliance Report</i>  | 24(A)                   | Yes            |  |
| <i>Alternate Director to Independent Director</i>  | 25(1)                   | Not Applicable |  |
| <i>Maximum Tenure</i>  | 25(2)                   | Yes            |  |
| <i>Meeting of independent directors</i>  | 25(3) & (4)             | Yes            |  |
| <i>Familiarization of independent directors</i>  | 25(7)                   | Yes            |  |
| <i>Declaration from Independent Director</i>   | 25(8) & (9)             | Yes            |  |
| <i>D &amp; O Insurance for Independent Directors</i>   | 25(10)                  | Yes            |  |
| <i>Memberships in Committees</i>   | 26(1)                   | Yes            |  |
| <i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i> | 26(3)                   | Yes            |  |
| <i>Disclosure of Shareholding by Non- Executive Directors</i>  | 26(4)                   | Yes            |  |
| <i>Policy with respect to Obligations of directors and senior management</i>   | 26(2) & 26(5)           | Yes            |  |

|                          |  |
|--------------------------|--|
| <b>Other Information</b> |  |
|--------------------------|--|

### III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Not Applicable**

|                          |  |
|--------------------------|--|
| <b>Other Information</b> |  |
|--------------------------|--|

**Name** : **ANJALI MODGIL**  
**Designation** : **Company Secretary & Compliance Officer**