

FORM NO. MGT 9

EXTRACT OF ANNUAL RETURN

AS ON FINANCIAL YEAR ENDED MARCH 31, 2019

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:		
1	CIN	L65910DL1983PLC016036
2	Registration Date	30.06.1983
3	Name of the Company	OSWAL LEASING LIMITED
4	Category/Sub-category of the Company	Company limited by shares/ Non-govt company
5	Address of the Registered office & contact details	105, Ashoka Estate, 24, Barakhamba Road, New Delhi-110001 Ph. No. 011 23722935, 011 23313955 Email Id: oswal_leasing@owmnahar.com Website: www.owmnahar.com
6	Whether listed company	Yes
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	M/s. Alankit Assignments Limited (Unit: Oswal Leasing Limited) 2E/21, Alankit House, Jhandewalan Extension, New Delhi-110055 Ph. No.: 011 - 42541234 / 23541234 Email Address: rta@alankit.com Website: www.alankit.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY			
(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)			
S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Investment and Financial activities	6430	100.00%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES					
S. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/Associate	NIC Code of the Product/service	% to total turnover of the company
1	N.A.	N.A.	N.A.	N.A.	N.A.

IV. SHARE HOLDING PATTERN									
(Equity share capital breakup as percentage of total equity)									
(i) Category-wise Share Holding									
Category of Shareholders	No. of Shares held at the beginning of the year [As on 31-March-2018]				No. of Shares held at the end of the year [As on 31-March-2019]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	0	0	0	0.00%	0	0	0	0.00%	0.00%
b) Central Govt	0	0	0	0.00%	0	0	0	0.00%	0.00%
c) State Govt(s)	0	0	0	0.00%	0	0	0	0.00%	0.00%
d) Bodies Corp.	1,29,848	50	1,29,898	25.98%	1,29,898	0	1,29,898	25.98%	0.00%
e) Banks / FI	0	0	0	0.00%	0	0	0	0.00%	0.00%
f) Any other	0	0	0	0.00%	0	0	0	0.00%	0.00%
Sub Total (A) (1)	1,29,848	50	1,29,898	25.98%	1,29,898	0	1,29,898	25.98%	0.00%
(2) Foreign									
a) NRI Individuals	0	0	0	0.00%	0	0	0	0.00%	0.00%
b) Other Individuals	0	0	0	0.00%	0	0	0	0.00%	0.00%
c) Bodies Corp.	0	0	0	0.00%	0	0	0	0.00%	0.00%
d) Any other	0	0	0	0.00%	0	0	0	0.00%	0.00%
Sub Total (A) (2)	0	0	0	0.00%	0	0	0	0.00%	0.00%
TOTAL (A)	1,29,848	50	1,29,898	25.98%	1,29,898	0	1,29,898	25.98%	0.00%
B. Public									
1. Institutions									
a) Mutual Funds	0	0	0	0.00%	0	0	0	0.00%	0.00%
b) Banks / FI	0	0	0	0.00%	0	0	0	0.00%	0.00%
c) Central Govt	0	0	0	0.00%	0	0	0	0.00%	0.00%

d) State Govt(s)	0	0	0	0.00%	0	0	0	0.00%	0.00%
e) Venture Capital Funds	0	0	0	0.00%	0	0	0	0.00%	0.00%
f) Insurance	0	0	0	0.00%	0	0	0	0.00%	0.00%
g) FIs	0	0	0	0.00%	0	0	0	0.00%	0.00%
h) Foreign Venture Capital Funds	0	0	0	0.00%	0	0	0	0.00%	0.00%
i) Others (specify)	0	0	0	0.00%	0	0	0	0.00%	0.00%
Sub-total (B)(1):-	0	0	0	0.00%	0	0	0	0.00%	0.00%
2. Non-Institutions									
a) Bodies Corp.	0	0	0	0.00%	0	0	0	0.00%	0.00%
i) Indian	0	13300	13300	2.66%	0	13300	13300	2.66%	0.00%
ii) Overseas	0	0	0	0.00%	0	0	0	0.00%	0.00%
b) Individuals	0	0	0	0.00%	0	0	0	0.00%	0.00%
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	20600	336202	3,56,802	71.36%	24200	332602	3,56,802	71.36%	0.00%
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	0	0	0	0.00%	0	0	0	0.00%	0.00%
c) Others (specify)	0	0	0	0.00%	0	0	0	0.00%	0.00%
Non Resident Indians	0	0	0	0.00%	0	0	0	0.00%	0.00%
Overseas Corporate Bodies	0	0	0	0.00%	0	0	0	0.00%	0.00%
Foreign Nationals	0	0	0	0.00%	0	0	0	0.00%	0.00%
Clearing Members	0	0	0	0.00%	0	0	0	0.00%	0.00%
Trusts	0	0	0	0.00%	0	0	0	0.00%	0.00%
Foreign Bodies - D R	0	0	0	0.00%	0	0	0	0.00%	0.00%
Sub-total (B)(2):-	20,600	3,49,502	3,70,102	74.02%	24,200	3,45,902	3,70,102	74.02%	0.00%
Total Public (B)	20,600	3,49,502	3,70,102	74.02%	24,200	3,45,902	3,70,102	74.02%	0.00%
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0.00%	0	0	0	0.00%	0.00%
Grand Total (A+B+C)	1,50,448	3,49,552	5,00,000	100.00%	1,54,098	3,45,902	5,00,000	100.00%	0.00%

(ii) Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Atam Vallabh Financiers Limited	900	0.18%	-	900	0.18%		0.00%
2	Suvrat Trading Co. Limited	12,248	2.45%	-	12,248	2.45%		0.00%
3	Kovalam Investment & Trading Co. Ltd.	14,200	2.84%	-	14,200	2.84%		0.00%
4	Nagdevi Trading & Investment Co. Ltd.	39,050	7.81%	-	39,050	7.81%		0.00%
5	Sankheshwar Holding Company Ltd.	63,500	12.70%	-	63,500	12.70%		0.00%

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
	At the beginning of the year				
	Changes during the year	NO CHANGE DURING THE YEAR UNDER REVIEW.			
	At the end of the year				

(iv) Shareholding Pattern of top ten Shareholders*(Other than Directors, Promoters and Holders of GDRs and ADRs):*

SN	For each of the Top 10 shareholders	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
1	Uttar Pradesh Stock Exchange Ass.Ltd			9,800	1.96%	9,800	1.96%
2	Harjot Singh			8,000	1.55%	8,000	1.55%
3	Pushkal			5,050	1.01%	5,050	1.01%
4	Barjesh Singhal			3,750	0.75%	3,750	0.75%
5	Romesh K. Aggarwal			2,650	0.53%	2,650	0.53%
6	Lalit Bhasin			2,450	0.49%	2,450	0.49%
7	Sanjay Kumar			2,200	0.44%	2,200	0.44%
8	Nita Jain			2,100	0.42%	2,100	0.42%
9	CL Aggarwal			2,000	0.40%	2,000	0.40%
10	Ramesh Dayal Singhal			2,000	0.40%	2,000	0.40%

(v) Shareholding of Directors and Key Managerial Personnel:

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares	No. of shares	% of total shares
1	Name				
	At the beginning of the year	0	0.00%	0	0.00%
	Changes during the year	0	0.00%	0	0.00%
	At the end of the year	0	0.00%	0	0.00%
2	Name				
	At the beginning of the year	0	0.00%	0	0.00%
	Changes during the year	0	0.00%	0	0.00%
	At the end of the year	0	0.00%	0	0.00%

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. Rs.)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	0	0	0	0
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
Total (i+ii+iii)	0	0	0	0
Change in Indebtedness during the financial year				
* Addition	0	0	0	0
* Reduction	0	0	0	0
Net Change	0	0	0	-
Indebtedness at the end of the financial year				
i) Principal Amount	0	0	0	0
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
Total (i+ii+iii)	-	-	-	-

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount
	Name			(Rs.)
	Designation			
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961			-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961			-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961			-
2	Stock Option			-
3	Sweat Equity			-
4	Commission			-
	- as % of profit			-
	- others, specify			-
5	Others, please specify			-
	Total (A)	-	-	-
	Ceiling as per the Act			

B. Remuneration to other Directors

SN.	Particulars of Remuneration	Name of Directors			Total Amount
					(Rs.)
1	Independent Directors	Sh.Vijay Kumar Gupta	Sh. Navdeep Sharma		
	Fee for attending board/committee meetings	12,500	12,500		25,000
	Commission	0	0		0
	Others, please specify	0	0		0
	Total (1)	12,500	12,500		25,000
2	Other Non-Executive Directors	Sh.Kamal Oswal	Sh. Dinesh Gogna	Smt. Monica Oswal	-
	Fee for attending board/committee meetings	10,000	12,500	10,000	32,500
	Commission	0	0	0	0
	Others, please specify	0	0	0	0
	Total (2)	10,000	12,500	10,000	32,500
	Total (B)=(1+2)	22,500	25,000	10,000	57,500
	Total Managerial Remuneration				57,500
	Overall Ceiling as per the Act				

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

SN.	Particulars of Remuneration	Name of Key Managerial Personnel			Total
	Name				(Rs.)
	Designation	CEO	CFO	CS	
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961				-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961				-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961				-
2	Stock Option				-
3	Sweat Equity				-
4	Commission				-
	- as % of profit				-
	- others, specify				-
5	Others, please specify				-
	Total	NONE			-

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY		NONE			
Penalty					
Punishment					
Compounding					
B. DIRECTORS		NONE			
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT		NONE			
Penalty					
Punishment					
Compounding					

**For and on behalf of the Board
For Oswal Leasing Limited**

**Place: New Delhi
Dated: 12.08.2019**

**(Vijay Kumar Gupta) (Navdeep Sharma)
Director Director
DIN: 00506399 DIN: 00454285**