Form MGT 9 EXTRACT OF ANNUAL RETURN

As on the financial year ended on March 31, 2019 [Pursuant to section 92(3)of the CompaniesAct,2013 and rule 12(1) of the Companies (Management and

Administration) Rules, 2014]

I. REGISTRATION A	AND OTHER	DETAILS:		, .	-						
CIN						L65910PB1981PLCO23058					
Registration Date				28.11.1981							
Name of the Compa	ny			KOVALAM INVESTMENT AND TRADING COMPANY LTD							
Category/Sub-Categ	gory of the Co	mpany		COMPANY	HAVING SH	ARE CAPIT	AL/ NON-	GOVT.			
					COMPANY						
Address of the Register	stered office a	and contact det	ails		PREMISE	S OSWAL WO	OLLEN MILL	S LTD,			
					G.T ROAD	, SHERPUR, L	UDHIANA.14	1003. PU	NJAB.		
					PHONE: 0161 5066607,						
					E MAIL :	<u>KOVALAM@OV</u>	MNAHAR.C	<u>MO:</u>			
Whether listed comp	bany				YES						
Name, Address and	Contact deta	ils of Registrar	and Transfe	N	/s. Alankit Assig	gnments Limi	ited				
Agent, if any		Ū.				Jnit: Kovalam In					
					A	lankit House, 1	E/13, Jhande	walan Ext	ension,		
					N	ew Delhi-110 0	55				
						elephone No.					
						ax No.	: (011) 4254				
						-mail address	: <u>rta@alank</u>				
					N	/ebsite	: www.alankit.com				
IL DDNIO(201)			0.0115.111								
II. PRINCIPAL BUSI											
All the Business Act		•									
SI No. Name and Description of main products/				ts/	NIC Code		% to total turnover of the				
	services			Product/ Service		Company					
				10010		58.25					
1	INVESTMEN	IT ACTIVITIES		13010							
FINANCIAL ADVISORS & BROKERS					41.75						
2						3016		_			
III. PARTICULARS (OMPANIES						
Name and Address	s of the Comp	bany	CIN/ GLN	4	Holding/		% of Applicable S		cable Section		
						Subsidiary/	shares				
						Associate	held				
NIL			NIL			NIL	NIL NIL				
IV. SHAREHOLDING		(EQUITY SHAF	RE CAPITAL	BREAKU	P AS PERC	CENTAGE OF T	OTAL EQUI	TY)			
i) Category- wise sha									•		
Category of		res held at the	e beginning o	of the		hares held at t	he end of the	e year	% change		
Shareholders	year (April	, ,				31, 2019)		during the			
	Demat	Physical	Total	% of	Demat	Physical	Total	% of	year		
				Total				Total			
				shares				shares			
A. Promoters											
(1)Indian :			1		•	1	-	•			
a) Individual/ HUF	0	79530	79530	3.58	79530	0	79530	3.58	0		
b) Central Govt	0	0	0	0	0	0	0	0	0		
c) State Govt.	0	0	0	0	0	0	0	0	0		
d) Bodies	0	1587460	1587460	71.38	1587460	0 0	1587460	71.38	0		
Corporate											
e) Banks/ Fl	0	0	0	0	0	0	0	0	0		
f) Any Other	0	0	0	0	0	0	0	0	0		
	1	1	1	1	1	1	1	1	I		

Sub Total (A) (1)	0	1666990	1666990	74.96	1666990	0	1666990	74.96	0
(2) Foreign :	•					•			•
a) NRIs- Individuals	0	0	0	0	0	0	0	0	0
b) Other-	0	0	0	0	0	0	0	0	0
Individuals	0	0	0	0	0	Ū	Ŭ	Ŭ	0
c) Bodies Corporate	0	0	0	0	0	0	0	0	0
d) Banks/ Fl	0	0	0	0	0	0	0	0	0
e) Any Other	0	0	0	0	0	0	0	0	0
Sub Total (A) (2)	0	0	0	0	0	0	0	0	0
Total Shareholding	0	1666990	1666990	74.96	1666990	0	1666990	74.96	0
of Promoters (A)=	0	1000330	1000330	74.50	1000330	0	1000990	74.50	0
(A) $(1)+(A) (2)$									
B. Public Shareholdi	na								
(1) Institutions :	iig								
a) Mutual Funds/	0	0	0	0	0	0	0	0	0
UTI	0	0	0	0	0	0	0	0	0
b) Banks/ Fl	0	0	0	0	0	0	0	0	0
c) Central Govt.	0	0	0	0	0	0	0	0	0
d) State Govt.	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance	0	0	0	0	0	0	0	0	0
Companies	0	0	5	0	0	0	, v		v
g) FIIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture	0	0	0	0	0	0	0	0	0
Capital Funds	0	0	0	0	0	Ū	Ŭ	Ŭ	0
i) Others (Specify)	0	0	0	0	0	0	0	0	0
Sub-Total (B) (1)	0	0	0	0	0	0	0	0	0
(2) Non-Institutions		Ũ	Ũ	Ū	Ū	Ŭ	Ŭ	Ũ	v
a) Bodies									
Corporate									
i) Indian	0	78100	78100	3.51	0	78100	78100	3.51	0
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals				Ű			Ŭ		
i) Individual	0	379424	379424	17.06	6 0	351024	351024	15.79	-1.27
shareholders holding nominal share capital upto Rs. 1 lakh									
ii) Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	0	99400	99400			127800	127800	5.74	1.27
c) Others (specify)	0	0	0	0	0	0	0	0	0
Sub-Total (B)(2)	0	556924	556924			556924	556924	25.04	0
Total Public	0	556924	556924	25.04	4 0	556924	556924	25.04	0
Shareholding (B)= (B) (1)+ (B) (2)									
C. Shares held by Custodian for	0	0	0	0	0	0	0	0	0

Grand Total (A+B+C) 0 2223914 2223914 100 1666990 556924 2223914 100 ii) Shareholding of Promoters: Image: Shareholding at the beginning of the year (April 1, 2018) Image: Shareholding at the beginning of the year (April 1, 2018) Shareholding at the beginning of the year (April 1, 2018) Shareholding at the beginning of the year (April 1, 2018) Shareholding at the end of the year (March 31, 2019) % of Shares pledged/ of the encumber % of Shares % of total shares Shares Shares pledged/ encumber % of total shares % of tota	100 % char shareh during	-	
(A+B+C) Image: Constraint of the set of th	shareh	ol-ding	
ii) Shareholding of Promoters: Shareholders Name Shareholding at the beginning of the year (April 1, 2018) No. of % of total shares shares of the pledged/ Company encumb ered to total shares shares intotal shares shares intotal shares shares intotal shares int	shareh	ol-ding	
Shareholders Name Shareholding at the beginning of the year (April 1, 2018) Shareholding at the end of the year (March 31, 2019) No. of shares % of total shares of the year (March 31, 2019) % of % of No. of shares % of total shares of the year (March 31, 2019) % of % of No. of shares % of total shares of the year (March 31, 2019) % of % of Shares shares of the year (March 31, 2019) % of % of Shares shares of the year (March 31, 2019) % of % of Shares shares of the year (March 31, 2019) % of % of Shares shares of the year (March 31, 2019) % of % of Shares shares of the year (March 31, 2019) % of % of Shares shares of the year (March 31, 2019) % of % of Shares shares pledged/ % of % of Shares pledged/ Company ered to % of % of Shares shares shares % of % of % of	shareh	ol-ding	
Shareholders Name Shareholding at the beginning of the year (April 1, 2018) Shareholding at the end of the year (March 31, 2019) No. of shares % of total shares of the year (March 31, 2019) % of % of No. of shares % of total shares of the year (March 31, 2019) % of % of No. of shares % of total shares of the year (March 31, 2019) % of % of Shares shares of the year (March 31, 2019) % of % of Shares shares of the year (March 31, 2019) % of % of Shares shares of the year (March 31, 2019) % of % of Shares shares of the year (March 31, 2019) % of % of Shares shares of the year (March 31, 2019) % of % of Shares shares of the year (March 31, 2019) % of % of Shares shares pledged/ % of % of Shares pledged/ Year (March 31, 2019) % of % of Shares pledged/ Year (March 31, 2019) % of % of Shares pledged/ Year (March 31, 2019) % of % of Shares pledg	shareh	ol-ding	
the year (April 1, 2018)year (March 31, 2019)No. of shares% of total shares of the Company% of SharesNo. of shares% of total shares% of SharesNo. of shares% of sharesNo. of shares% of shares% of shares% of sharesNo. of shares% of shares% of shares% of shares% of sharesNo. of shares% of shares% of shares% of sharesNo. of shares% of pledged/ encumb ered to total shares% of shares	shareh	ol-ding	
sharesshares of theSharessharestotalSharespledged/ Companyencumb ered to totalof the encumbencumber ed to total sharesvvsharesvvvvsharesvvvsharesvvvsharesv	during	the year	
the pledged/ shares pledged/ Company encumb of the encumber ered to total y shares shares shares shares shares			
Company encumb ered to total of the Compan encumber ed to total shares shares			
ered to compan ed to total total y shares			
total y shares shares			
shares			
ABHILASH GROWTH FUND PVT 73850 3.32 0 73850 3.32 0			
LTD		0	
J.L GROWTH FUND LTD 91130 4.10 0 91130 4.10 0		0	
NAHAR GROWTH FUND P LTD 20000 0.90 0 20000 0.90 0		0	
SUVRAT TRADING CO. LTD 160035 7.20 0 160035 7.20 0		0	
NAGDEVI TRADING & 830685 37.35 0 830685 37.35 0		0	
INVESTMENT CO. LTD			
VANAIK INVESTORS LTD 123360 5.55 0 123360 5.55 0		0	
	0 0		
ATAM VALLABH FINANCIERS LTD 15000 0.67 0 15000 0.67 0		0	
VARDHMAN INVESTMENTS LTD 10000 0.45 0 10000 0.45 0 SUL IAWALIARI AL OSWAL 20000 1.25 0 20000 1.25 0		0	
SH. JAWAHARLAL OSWAL 30000 1.35 0 30000 1.35 0 SH. KAMAL OSWAL 19600 0.88 0 19600 0.88 0		0	
Sh. DINESH OSWAL 29930 1.35 0 29930 1.35 0		0	
OSWAL WOOLLEN MILLS LTD 87000 3.91 0 87000 3.91 0		0	
TOAL 1666990 74.96 0 1666990 74.96 0		0	
		•	
iii) Change in Promoters' Shareholding (Please specify, if there is no change)			
There is no change in total Promoters Shareholding during the year under review.			
Shareholding at the beginning of the year Cumulative Shareholding d	luring the	vear	
	total share		
Shares shares of the	Company		
Company		·	
At the beginning of the year			
Date wise Increase/ Decrease in			
Promoters Share holding during the			
year specifying the reasons for			
increase /decrease (e.g. allotment/			
transfer/ bonus/ sweat equity, etc.)			
At the end of the year			

iv) Shareholdi	ng Pattern of T	op Ten Share	nolder	s (Other th	an Dire	ecto	rs, Promoters a	and Holders	s of G	DRs and ADRs)	
Name Shareh						Increase/	Reason		Cumulative Shareholding during		
		beginn	ing of	the year			Decrease in		th	the year	
		No. of	%	of total			shareholding		1	lo. of Shares	% of total
		shares	sh	ares of							shares of the
			th								Company
			Co	ompany							
	HUNJHUNWAI			3.92	NA		NIL	NA		71000	3.92
FAYETTE TRADING & 42600			1.92	NA		NIL	NA		42600	1.92	
INVESTMENT CO. LTD											
SUBASH MADAN 28400				1.28	NA		NIL	NA		28400	1.28
LTD	ESTMENT PV	F 24850		1.12	NA		NIL	NA		24850	1.12
JAGDISH MA	L LODHA	14200		0.64	NA		NIL	NA		14200	0.64
CHAND RATA	AN BIYANI	14200		0.64	NA		NIL	NA		14200	0.64
	DING CO. LTD	10650		0.48	NA		NIL	NA		10650	0.48
DALIP PATH		6390		0.29	NA	\Box	NIL	NA		6390	0.29
RAJENDRA F		710		0.03	NA		NIL	NA		710	0.03
BHAVNA N SI	НАН	710		0.03	NA		NIL	NA		710	0.03
Note:											
	ng of Directors		Igerial				NII				
Name	Shareholdin	-					crease/	Reason		Cumulative S	-
	beginning of the		year of total ares of the mpany				ecrease in hare hol-			during the year	
										No. of shares	% of total shares of the
							iig				Company
		Company									Company
NIL		NIL					NIL			NIL	
Note:											
	s of the Compa	any including	inte	rest outsta	nding/	acc	crued but not o	due for pa	/men	t	
			-NIL								
			Secured Loan excluding depos				Unsecured	Unsecured Loans		eposits	Total Indebtedness
	s at the beginn	ing of the									
financial year											
	al Amount		NIL			NIL		NII		NIL	
,	due but not pa			NIL			NIL		NII		NIL
,	accrued but no	ot due	NIL				NIL		NII		NIL
Total (i+ii+iii)			NIL				NIL		NIL		NIL
-	debtedness du	iring the									
year Addition				K 111			N I I I		K		NIII
Addition Reduction				NIL			NIL		NIL		NIL
				NIL			NIL		NIL NIL		NIL
Net Change	at the end of	the	-	NIL			NIL		INII	-	NIL
financial year		uie									
	al Amount			NIL			NIL		NII		NIL
		id		NIL			NIL		NI		NIL
ii) Interest due but not paid iii) Interest accrued but not due			NIL			NIL		NI		NIL	
Total (i+ii+iii)				NIL			NIL		NII		NIL
10tal (1+11+111)				INIL							1 VI L

VI. REMUNERATION OF DIRECTORS ANI				
A. Remuneration to Managing Direct	ctor, Whole Time I	Directors and/ or Manage	er	(Rs. Lakh)
Particulars of Remuneration				Total Amount
1. Gross Salary				
 a) Salary as per provisions contained Section 17 (1) of the Income Tax Act, 1961 	in NII	- NIL	NIL	NIL
b) Value of perquisites under Section 17 (2) of the Income Tax Act, 1961	NII	_ NIL	NIL	NIL
 c) Profits in lieu of salary under Section 17 (3) of the Income Tax Act, 1961 	on NII	_ NIL	NIL	NIL
2. Stock Options	NI	_ NIL	NIL	NIL
3. Sweat Equity	NIL		NIL	NIL
4. Commission			=	
- as % of profit	NI	_ NIL	NIL	NIL
- others, specify	NI		NIL	NIL
5. Others, please specify				
a) Retiral benefits	NI	_ NIL	NIL	NIL
TOTAL (A)	NI		NIL	NIL
Ceiling limit			NA	
			NA	
B. Remuneration to Other Directors				
1. Independent Directors (Rs. In lacs)				
Particulars of Remuneration				Total amount (Rs Lakh)
 Fee for attending Board/ Committee 	NIL	NIL	NIL	NIL
Meetings				
- Commission	NIL	NIL	NIL	NIL
 Others, please specify 	NIL	NIL	NIL	NIL
TOTAL (B)(1)	NIL	NIL	NIL	NIL
2. Other Non-Executive Directors				(Rs. In lacs
Particulars of Remuneration				Total amount (Rs. Lakh)
 Fee for attending Board/ Committee Meetings 	NIL	NIL	NIL	NIL
- Commission	NIL	NIL	NIL	NIL
 Others, please specify 	NIL	NIL	NIL	NIL
TOTAL (B)(2)	NIL	NIL	NIL	NIL
TOTAL (B)= (B)(1)+(B)(2)				NIL
Overall Ceiling as per the Act	NA-			
C. Remuneration to Key Managerial Pers	onnel other than N	MD/ Manager/ WTD		(in Rs.)
Particulars of Remuneration		Jyoti Sud Company Secretary		Total amount (in Rs.)
1. Gross Salary				
d) Salary as per provisions contained of the Income Tax Act, 1961	in Section 17 (1)	9,143	NIL	9,143
e) Value of perquisites under Section Income Tax Act, 1961	17 (2) of the	NIL	NIL	NIL
f) Profits in lieu of salary under Section Income Tax Act, 1961	on 17 (3) of the	NIL	NIL	NIL
2. Stock Options		NIL	NIL	NIL
3. Sweat Equity		NIL	NIL	NIL

4. Commission								
- as % o	f profit		NIL	NIL	NIL			
- others,	specify		NIL	NIL	NIL			
5. Others, pleas	e specify							
a) Retiral	benefits		NIL	NIL	NIL			
TOTAL (C)			9,143	NIL	9,143			
VII. PENALTIES	6/ PUNISHMENT/ COMPOUN	DING OF OFFEN	ICES:					
Туре	Section of the Companies Act	Brief Descrip	tion Details of penal punishment/ compounding f imposed	NCLT/ Court)	Appeal made, if any (give details)			
A. COMPANY								
Penalty/ Punishr	ment / Compounding		NONE					
B. DIRECTORS		·						
Penalty/ Punishr	ment / Compounding		NONE					
C. OTHER OFF	ICERS IN DEFAULT							
Penalty/ Punishr	ment / Compounding		NONE					

For and on behalf of the Board

Pawan Kumar SharmaNavdeep SharmaDirectorDirector(DIN: 07163131)(DIN: 00454285)

Place: Ludhiana Date: 13.08.2019